



## **Terms and Conditions**

### **1. General Provisions**

1.1 These Terms and Conditions (hereinafter referred to as the 'Terms') set forth the rights and obligations of Global AI Securities Co., Ltd. (hereinafter referred to as the 'Company' or 'We') in providing stock investment, securities trading, and related services to customers.

1.2 Customers should carefully read and agree to these Terms before using any services provided by the Company. By using or continuing to use our services, customers are deemed to have accepted these Terms.

1.3 The Company reserves the right to amend these Terms from time to time based on applicable laws, regulatory requirements, or business needs. Any modifications will be published on the Company's website or other appropriate channels and will take effect upon publication.

### **2. Account Registration and Management**

2.1 Customers must be at least 18 years old (or meet the minimum age requirement of their jurisdiction) and have full legal capacity to register an investment account.

2.2 Customers must provide true, accurate, and complete personal or corporate information, including but not limited to name, identification documents, contact details, and financial status.

2.3 The Company reserves the right to verify customer identity and refuse or close an account if false, misleading, or illegal information is provided.

### **3. Investment and Trading**

3.1 The Company provides a securities trading platform for customers to trade stocks, ETFs, bonds, and other financial products.

3.2 Customers bear full responsibility for investment risks, including but not limited to market risk, liquidity risk, price fluctuations, and policy changes.

3.3 Customers acknowledge that all trading decisions are made independently, and the Company does not guarantee any investment returns or assume liability for losses caused by market fluctuations.

3.4 The Company shall not be liable for transaction failures or delays caused by market anomalies, technical failures, or regulatory changes.

#### **4. Fees and Taxes**

4.1 Customers must pay applicable transaction commissions, management fees, deposit and withdrawal fees, and other related charges. The latest fee structure is available on the Company's official website.

4.2 Customers are responsible for understanding and fulfilling their tax obligations according to their jurisdiction. The Company is not responsible for customers' tax filing and payment.

#### **5. Fund Management and Withdrawals**

5.1 Customer investment funds must be deposited into a designated Company account and shall only be used for investment and trading purposes. They must not be used for illegal activities.

5.2 Customers may request withdrawals at any time, provided that the withdrawal amount does not exceed the available balance in their account. The Company reserves the right to review withdrawal requests and may delay or reject withdrawals due to compliance or risk control requirements.

5.3 If suspicious transactions or regulatory violations are detected, the Company has the right to temporarily freeze account funds and cooperate with relevant regulatory authorities for investigation.

#### **6. Trading Restrictions and Suspensions**

6.1 In special market conditions, such as extreme market fluctuations, system maintenance, or regulatory requirements, the Company has the right to restrict certain securities trading or suspend trading services.

6.2 Customers shall not use the Company's services for market manipulation, insider trading, money laundering, or any other illegal activities. If such activities are detected, the Company reserves the right to terminate the account immediately and report to relevant enforcement agencies.

#### **7. Limitation of Liability**

7.1 The Company will make its best efforts to ensure the stability and security of its trading system. However, it is not liable for any transaction losses caused by force majeure, system failures, cyber-attacks, or interruptions of third-party services.

7.2 Customers must properly safeguard their account credentials and trading passwords. The Company is not responsible for losses resulting from customer negligence, such as unauthorized access or fund theft.

7.3 Under no circumstances shall the Company's liability for any direct, indirect, incidental, special, or punitive damages exceed the total fees paid by the customer for transactions.

## **8. Compliance and Regulation**

8.1 The Company is registered in [Applicable Jurisdiction] and regulated by [Relevant Financial Regulatory Authority].

8.2 Customers must comply with applicable laws and regulatory requirements when using the Company's services and shall not engage in any illegal activities that disrupt market order.

8.3 The Company is obligated to provide necessary information to regulatory authorities and conduct customer identification (KYC) and anti-money laundering (AML) compliance checks in accordance with applicable laws.

## **9. Dispute Resolution**

9.1 These Terms are governed by the laws of [Applicable Jurisdiction].

9.2 Any disputes arising from these Terms or the use of the Company's services shall first be resolved through good-faith negotiations between the parties. If no resolution is reached, either party may submit the dispute to [Designated Arbitration Institution] for arbitration, and the arbitration result shall be final and legally binding on both parties.